United Nations DP/2000/10

Executive Board of the United Nations Development Programme and of the United Nations Population Fund

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Organizational matters

Decisions adopted by the Executive Board at its first regular session 2000 (24-28 and 31 January)

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2000/1
UNDP Business Plans, 2000-2003

The Executive Board

1. *Recalls* General Assembly resolution 53/192;

2. *Recalls* Economic and Social Council resolution 1999/6;

3. *Reaffirms* its decisions 94/14, 98/1, 98/23, 99/1 and 99/23;

4. *Welcomes* the efforts of the Administrator to secure the future of the United Nations Development Programme as a leading development programme of the United Nations and *affirms its support* to him in continuing those efforts, *inter alia*, in the framework of United Nations reform in operational activities;

5. *Expresses* serious concern at the decline in the level of core resources and calls upon the Administrator, in the context of the implementation of the Business Plans, to increase efforts in order to secure predictable funding for the United Nations Development Programme and to reach the agreed annual funding target of $1.1 billion in core resources;

6. *Notes* that the Business Plans emphasize that the operational activities of the United Nations Development Programme must remain country-driven;

7. *Looks forward* to the timely implementation of the Business Plans, 2000-2003 contained in document DP/2000/8 and requests the Administrator in implementing the Business Plans to take fully into account the views expressed at the first regular session of the Executive Board in 2000 and the fundamental characteristics of the operational activities of the United Nations;

8. *Requests* the Administrator to report to the Executive Board on the evolution and implementation of the Business Plans, 2000-2003 as part of the reporting cycle established under the multi-year funding framework.

28 January 2000

2000/2
Assistance to East Timor

The Executive Board

Approves the allocation of $5 million from the target for resource allocation from the core to meet immediate needs for assistance for the period 1999-2000 and the authority to make future allocations according to the formula applied to other programme countries.

25 January 2000

2000/3
UNDP regular funding and cash-flow management

The Executive Board

1. *Takes note* of the information contained in document DP/2000/CRP.3;

2. *Notes* the serious impact of delayed payments on cash-flow management as reported in the document;

3. *Notes* the importance of officially communicating regular resources contributions for 2000 as early in the year as possible;

4. *Supports* the recommendation of the Administrator for a comprehensive implementation of the elements of the United Nations Development Programme funding strategy (decision 98/23) concerning the announcement of pledges and adherence to a fixed payment schedule.

26 January 2000

2000/4
Comprehensive revision of the UNDP Financial Regulations and Rules

The Executive Board

1. *Takes note* of the report of the Administrator contained in document DP/2000/4; the information contained in document DP/2000/CRP.5; the report of the Advisory Committee on Administrative and Budgetary Questions contained in document DP/2000/7; and the further clarifications provided by the Administrator in document DP/2000/CRP.4;

2. *Takes note* of the urgent need for a comprehensive revision of the United Nations Development Programme Financial Regulations and Rules in order for the Programme to maintain effective financial management and control in the organization;

3. *Reaffirms* the important role of the Advisory Committee on Administrative and Budgetary Questions in administrative and budgetary matters, including the revision process of the United Nations Development Programme Financial Regulations;

4. *Approves* the proposed revised financial regulations contained in the annex to document DP/2000/4, with the amendments listed in the annex to the present decision, and with the exception of the following proposed regulations: 16.03 regarding a limited authority for the Administrator to advance funds from regular resources prior to the receipt of anticipated contributions to other resources; 17.04 regarding authorization for the Administrator to select an intergovernmental or non-governmental organization as executing entity; 19.01 regarding an expanded grant modality; and 25.08 regarding authority for the Administrator to establish credit facilities;

5. *Requests* the Administrator to present for approval, at a future session of the Executive Board, additional information on the proposed four new areas of authority described in paragraphs 40-48 of document DP/2000/4;

6. *Decides* that, pending the approval of financial regulations pertaining to the areas covered by the four regulations referred to in paragraph 4 of the present decision, the following current financial regulations shall remain in effect: Regulation 4.15 (c) regarding defaults on payments required under agreements

between a contributor and the Administrator; Regulation 8.12 regarding authority for the Administrator to incorporate micro-capital grant support in association with technical cooperation programmes; and Regulation 8.10 (e) regarding authorization for the Administrator to contract, subject to the agreement of the requesting Government or Governments, for the services of other agencies, private firms or individual experts in the execution of projects, and to contract projects to a governmental, intergovernmental or organization not part of the United Nations system, or to the United Nations Development Programme itself, if the entity meets the conditions set out in the Regulation;

7. *Takes note* of the proposed financial rules also presented in the annex to document DP/2000/4, which will become effective simultaneously with the financial regulations;

8. *Requests* the Administrator to defer approval of those financial rules associated with the four proposed regulations referred to in paragraph 4 of the present decision;

9. *Also requests* the Administrator to ensure that any proposed decision submitted to the Executive Board by the United Nations Development Programme that is expected to affect the Financial Regulations and Rules be accompanied by the proposed revised text of the relevant regulation and/or rule;

10. *Further requests* the Administrator to keep the financial regulations under active review, including with regard to taking into account any adjustment that may facilitate the implementation of the United Nations Development Assistance Framework, and to consult accordingly with members of the Executive Board;

11. *Requests* the Administrator to transmit to the Consultative Committee on Administrative Questions (Financial and Budgetary Questions) the changes in terminology introduced through the present decision and to inform the Executive Board of the comments of the Committee;

12. *Recommends* that the United Nations Development Programme seek, whenever feasible, the views of the Consultative Committee on Administrative Questions (Financial and Budgetary Questions) on any new proposed changes of terminology in the Financial Regulations and Rules, with a view towards harmonization;

13. *Emphasizes* the continuing need to organize, well in advance of the appropriate Executive Board session, informal consultations with Board members on the draft of any change proposed to the Financial Regulations, with a view to ensuring that the changes and their rationale are fully explained in an appropriate and useful format.

28 January 2000

Annex

Amendments to the proposed revised UNDP financial regulations and rules, as approved by the Executive Board

Regulation 5.02:

"Contributions may be accepted by UNDP from Governments of States members of the United Nations, of the specialized agencies and of the International Atomic Energy Agency, as well as from those organizations and agencies themselves. Contributions may also be accepted from any other intergovernmental, non-governmental, or private sector sources subject to such conditions and criteria as the Executive Board and the Administrator may establish."

Regulation 5.07 (b):

"Contributions shall be paid in advance of the allocation made for the implementation of planned UNDP programme activities, ~~except as provided by Regulation 16.03 below~~;"

Regulation 9.04:

Delete: "Income other than contributions, direct refunds of expenditures in respect of programme activities or in respect of the biennial support budget during their approved period and revenue derived from staff assessments shall be classified as miscellaneous income."

Insert: "All income to UNDP shall be classified as miscellaneous income, except:

(a) The income from the contributions specified in this chapter;

(b) Direct refunds of expenditures in respect of programme activities during the approved duration of a programme activity, i.e., before the final allocation for UNDP assistance to a programme activity is made;

(c) Direct refund of expenditures in respect of the biennial support budget during the current biennium;

(d) Advances or deposits to funds;

(e) Revenue derived from the staff assessment plan";

Regulation 11.02:

"The distribution of resources among the different programme categories may be changed only by the Executive Board ~~on the basis of principles it shall have established~~";

Regulation 12.02:

Delete (c) of the Regulation and incorporate in the Financial Rules:

"The Administrator may determine a TRAC-1 threshold below which the approval process of the country cooperation framework shall be simplified. The Administrator shall inform the Executive Board and those programme countries to which the simplified process is applied of this threshold";

Regulation 14.04:

"Transfers between the appropriation lines for the biennium may be made by the Administrator, subject to limits as the Executive Board may specifically decide, with the prior concurrence of the Advisory Committee";

Regulation 16.06 (a):

"The administration by executing entities of resources obtained from or through UNDP shall be carried out under their respective financial regulations, rules, practices and procedures only to the extent that they ~~are appropriate~~ do not contravene the principles of the Financial Regulations and Rules of UNDP";

Regulation 16.07:

The Administrator shall establish, from time to time, in consultation with the Board of Auditors, criteria determining which UNDP programme activities executed by other entities other than organizations from the United Nations system are to be audited biennially. Such criteria shall be made available to the Board of Auditors."

2000/5
Revision to the United Nations Population Fund Financial Regulations

The Executive Board,

1. *Approves* the revisions to the United Nations Population Fund Financial Regulations as contained in document DP/FPA/2000/3, with amendments to regulations 2.1(k), 2.2C(ii), 2.2C(iv), 4.11, 5.5 and 17.2, as follows:

(a) *Regulation 2.1(k)*: "implementing agency", if other than the executing agency, shall mean an entity engaged by and accountable to the executing agency to provide programme/project inputs and deliver programme/project outputs.

(b) *Regulation 2.2C(ii)*: "co-financing" shall mean a resource mobilization modality through which contributions, as defined in 2.2C(iv), may be received in support of specified purposes consistent with the policies, aims and activities of UNFPA. These contributions may be in the form of cost-sharing or trust funds and shall be considered over and above the regular resources available for programmes.

(c) *Regulation 2.2C(iv)*: "contribution" shall mean cash or in-kind resources received from a Government or intergovernmental institution; United Nations agencies; or non-governmental sources, including foundations or private sector organizations, as well as individuals. Contributions cover programme costs as well as programme support and management and administration costs.

(d) *Regulation 4.11*: The Executive Director shall report annually to the Executive Board on contributions above $100,000 received from non-governmental sources.

(e) *Regulation 5.5*: The Executive Director shall require reimbursement of managerial and support services costs associated with the management and administration of trust funds up to the amount authorized by the Executive Board.

(f) *Regulation 17.2*: The Executive Director shall ensure that, except for organizations of the United Nations system, executing agencies shall require their auditors to follow, to the extent feasible, the audit principles and procedures prescribed for the United Nations with respect to funds obtained from or through UNFPA, and shall ensure that each project is audited periodically as required by the Executive Director, but at least once in its lifetime.

2. *Emphasizes* the continuing need for organizing, well in advance of the appropriate Executive Board session, informal consultations with Board Members on proposed draft changes of financial rules and regulations, with a view towards ensuring that the proposed changes and the rationale are fully and clearly explained in an appropriate and useful format;

3. *Recommends* that the United Nations Population Fund seek, whenever feasible, the views of the Consultative Committee on Administrative Questions (Financial and Budgetary Questions) on proposed changes of terminology in the Financial Rules and Regulations, with a view toward harmonization;

4. *Encourages* the United Nations Population Fund and the United Nations Development Programme to consult each other on the feasibility of developing a common regulation concerning contributions from non-governmental sources and to report to the Executive Board at its third regular session 2000;

5. *Requests* the Executive Director to keep the Financial Regulations under active review, including with regard to taking into account any adjustment that may facilitate the implementation of the United Nations Development Assistance Framework and to consult accordingly with members of the Executive Board.

28 January 2000

2000/6
Overview of decisions adopted by the Executive Board at its first regular session 2000

The Executive Board

Recalls that during the first regular session 2000, it:

Item 1
Organizational matters

Elected the following members of the Bureau for 2000:

President: H.E. Mr. Vladimir Galuška (Czech Republic)

Vice-President: H.E. Mr. Gert Rosenthal (Guatemala)

Vice-President: Mr. Tetsuo Kondo (Japan)

Vice-President: Mr. Mathe Matthews Diseko (South Africa)

Vice-President: Mr. Le Hoai Trung (Viet Nam)

Approved the agenda and work plan for its first regular session 2000 (DP/2000/L.1) as orally amended;

Approved the reports of the annual session 1999 (DP/1999/28) and of the third regular session 1999 (DP/2000/1);

Approved the annual work plan 2000 for the UNDP/UNFPA Executive Board (DP/2000/CRP.1) as orally amended;

Agreed to the following schedule of future sessions of the Executive Board in 2000:

Second regular session 2000: 3-7 April 2000

Annual session 2000: 13-23 June 2000 (Geneva)

Third regular session 2000: 11-15 September 2000

Agreed to the following tentative schedule of future sessions of the Executive Board in 2001:

First regular session 2001:	[22 January-2 February 2001 or 29 January-10 February 2001]
[Second regular session 2001:	19-23 March 2001]
Annual session 2001:	14-25 May 2001 (New York)
Third regular session 2001:	10-14 September 2001

Agreed to the subjects to be discussed at the second regular session 2000 of the Executive Board, as listed in the tentative agenda and workplan contained in the annex to the present decision;

UNDP segment

Item 2
Business plans, 2000-2003

Adopted decision 2000/1 of 28 January 2000 on the Administrator's Business Plans, 2000-2003;

Took note of the report on the comprehensive assessment of the UNDP 2001 change process (DP/2000/3);

Item 3
Country cooperation frameworks and related matters

Approved the first country cooperation framework for Liberia (DP/CCF/LIR/1);

Took note of the following extensions of country cooperation frameworks:

First extension of the country cooperation framework for Angola	DP/CCF/ANG/1/EXTENSION I
First extension of the country cooperation framework for Mauritius	DP/CCF/MAR/1/EXTENSION I
First extension of the country cooperation framework for Seychelles	DP/CCF/SEY/1/EXTENSION I
First extension of the country cooperation framework for Swaziland	DP/CCF/SWA/1/EXTENSION I
First extension of the country cooperation framework for Indonesia	DP/CP/INS/5/EXTENSION I
First extension of the country cooperation framework for the Republic of Korea	DP/CCF/ROK/1/EXTENSION I
First extension of the country cooperation framework for Belarus	DP/CCF/BLR/1/EXTENSION I
First extension of the country cooperation framework for Bosnia and Herzegovina	DP/CCF/BIH/1/EXTENSION I
First extension of the country cooperation framework for Bulgaria	DP/CCF/BUL/1/EXTENSION I
First extension of the country cooperation framework for Croatia	DP/CCF/CRO/1/EXTENSION I
First extension of the country cooperation framework for the Czech Republic	DP/CCF/CZE/1/EXTENSION I
First extension of the country cooperation framework for Estonia	DP/CCF/EST/1/EXTENSION I
First extension of the country cooperation framework for The former Yugoslav Republic of Macedonia	DP/CCF/MCD/1/EXTENSION I
First extension of the country cooperation framework for Georgia	DP/CCF/GEO/1/EXTENSION I
First extension of the country cooperation framework for Hungary	DP/CCF/HUN/1/EXTENSION I
First extension of the country cooperation framework for Latvia	DP/CCF/LAT/1/EXTENSION I
First extension of the country cooperation framework for Lithuania	DP/CCF/LIT/1/EXTENSION I
First extension of the country cooperation framework for Malta	DP/CCF/MAT/1/EXTENSION I
First extension of the country cooperation framework for Poland	DP/CCF/POL/1/EXTENSION I
First extension of the country cooperation framework for the Republic of Moldova	DP/CCF/MOL/1/EXTENSION I
First extension of the country cooperation framework for the Russian Federation	DP/CCF/RUS/1/EXTENSION I

First extension of the country cooperation framework for Slovakia	DP/CCF/SLO/1/EXTENSION I
First extension of the country cooperation framework for Slovenia	DP/CCF/SVN/1/EXTENSION I
First extension of the fifth country programme for Turkey	DP/CP/TUR/5/EXTENSION I
First extension of the country cooperation framework for Ukraine	DP/CCF/UKR/1/EXTENSION I
First extension of the country cooperation framework for Saint Helena	DP/CCF/STH/1/EXTENSION I
First extension of the regional cooperation framework for Europe and the Commonwealth of Independent States	DP/RCF/REC/1/EXTENSION I

Took note of the following country reviews:

First country review report for Bangladesh (DP/CRR/BGD/1)

First country review report for Botswana (DP/CRR/BOT/1)

First country review report for China (DP/CRR/CPR/1)

First country review report for Kazakhstan (DP/CRR/KAZ/1)

First country review report for Kyrgyzstan (DP/CRR/KYR/1)

First country review report for Viet Nam (DP/CRR/VIE/1)

Adopted decision 2000/2 of 25 January 2000 on assistance to East Timor;

Item 4
Financial, budgetary and administrative matters

Adopted decision 2000/3 of 26 January 2000 on regular funding and cash-flow management;

Adopted decision 2000/4 of 28 January 2000 on the revised Financial Regulations and Rules;

UNDP/UNFPA segment

Item 5
Implementation of recommendations of the Board of Auditors

Took note of the UNDP report on implementation of recommendations of the Board of Auditors for the biennium 1996-1997 (DP/2000/6);

Took note of the UNFPA report on follow-up to the report of the Board of Auditors for 1996-1997: Status of implementation of recommendations (DP/FPA/2000/4);

Item 6
Field visits

Took note of the report of the field visit to Bulgaria and Georgia (DP/2000/CRP.2);

UNFPA segment

Item 7
Country programmes and related matters

Approved the following country programmes:

Assistance to the Government of the Islamic Republic of Iran (DP/FPA/IRN/3);

Assistance to the Government of Zimbabwe (DP/FPA/ZWE/4);

Approved the extension of and additional resources for the UNFPA country programme for Niger (DP/FPA/NER/4/EXT.1);

Approved the UNFPA intercountry programme, 2000-2003 (DP/FPA/2000/1), taking into account the comments of the Board as reflected in the report of the session;

Took note of the review of the UNFPA intercountry programme, 1996-1999 (DP/FPA/2000/1/Add.1);

Item 8
Financial, budgetary and administrative matters

Endorsed the standard rate of 7.5 per cent for reimbursement of administrative and operational support costs for UNFPA execution of co-financing trust-fund activities;

Adopted decision 2000/5 of 28 January 2000 on the revised UNFPA Financial Regulations;

Took note of the Report of the Advisory Committee on Administrative and Budgetary Questions (DP/FPA/2000/5);

Item 9
Technical advisory programme, 2000-2003

Took note of the selection of Bratislava, Slovakia, as the site of the UNFPA country technical services team office to cover countries with economies in transition;

Item 10
Other matters

Took note of the oral report on the outcome of the 2-3 December 1999 meeting of the WHO/UNICEF/UNFPA Coordinating Committee on Health and the report of the meeting, as contained in DP/FPA/2000/CRP.2;

28 January 2000

Annex

Second regular session (3-7 April 2000)

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DP/2000/L.2	Provisional agenda, annotations, list of documents
DP/2000/9	Report of the first regular session 2000
DP/2000/10	Decisions adopted at the first regular session 2000
UNFPA segment	
Item 2	**UNFPA: sector-wide approaches**
DP/FPA/2000/CRP.3	UNFPA involvement in sector-wide approaches
Item 3	**UNFPA multi-year funding framework**
DP/FPA/2000/6	UNFPA: Report on multi-year funding framework
Item 4	**Funding commitments to UNFPA**
DP/FPA/2000/CRP.4	Report on funding commitments to UNFPA
Joint UNDP/UNFPA segment	
Item 5	**Reports to the Economic and Social Council**
DP/2000/11	UNDP: Report to the Economic and Social Council
DP/FPA/2000/7	UNFPA: Report to the Economic and Social Council
UNDP segment	
Item 6	**Business plans**
DP/2000/14	Sharing new ground in post-conflict situations: The role of UNDP in reintegration programmes
DP/2000/18	Role of the United Nations Development Programme in crisis and post-conflict situations
Item 7	**Report on funding commitments to UNDP**
ORAL	Report on emerging results of the multi-year funding framework

DP/2000/CRP.6	Report on funding commitments to the United Nations Development Programme
Item 8	**Evaluation of the relationship between UNOPS and UNDP**
DP/2000/13	Evaluation of the relationship between the United Nations Office for Project Services and the United Nations Development Programme
Item 9	**Country cooperation frameworks and related matters**
DP/2000/12	Assistance to the People's Republic of the Congo: Note by the Administrator
DP/2000/16	Assistance to Afghanistan: Note by the Administrator
DP/2000/17	Earmarkings for the target for resource assignments from the core for 2000-2003
DP/CF/TCDC/1/EXT.I	Extension of the cooperation framework for technical cooperation among developing countries
DP/RCF/RLA/1/EXT.I	Extension of the first regional cooperation framework for Latin America
DP/CCF/ANG/1/EXT. I	Extension of the country cooperation framework for Angola
DP/CCF/KYR/2	Second country cooperation framework for Kyrgyzstan
DP/CCF/ZIM/2	Second country cooperation framework for Zimbabwe
DP/CRR/CMB/1	First country review report for Cambodia
DP/CRR/UZB/1	First country review report for Uzbekistan
DP/CRR/ZIM/1	First country review report for Zimbabwe
Item 10	**UNIFEM**
DP/2000/15	UNIFEM: Strategy and Business Plan 2000-2003
Item 11	**Other matters**

Tentative work plan
Executive Board of UNDP/UNFPA
Second regular session 2000 (3 to 7 April 2000)

Day/date	*Time*	*Item*	*Subject*
Monday, 3 April	a.m.	1	Organizational matters: election of the Bureau; agenda and work plan; reports on the first regular session 2000
		3	UNFPA: Multi-year funding framework
	p.m.	3	UNFPA: Multi-year funding framework (continued)
		5	Reports to ECOSOC
Tuesday, 4 April	a.m.	7	UNDP: Funding commitments to UNDP
	p.m.	4	UNFPA: Funding commitments to UNFPA
Wednesday, 5 April	a.m.	6	UNDP: Business plans - Role of UNDP in crisis and post-conflict situations - Evaluation: Sharing new ground in post-conflict situations: Role of UNDP in reintegration programmes
	p.m.	8	Evaluation of relationship between UNOPS and UNDP
Thursday, 6 April	a.m.	9	UNDP: Country cooperation frameworks and related matters
	p.m.	10	United Nations Development Fund for Women
Friday, 7 April	a.m.	2	UNFPA: Sector-wide approaches
	p.m.	11	Other matters
			Pending decisions
		1	Organizational matters